



<b>TRANSMITTAL FORM</b> (to be used for all correspondence after initial filing)		Application Number	10/615,342
		Filing Date	July 7, 2003
		First Named Inventor	David Scott Wishart
		Art Unit	2857
		Examiner Name	Unassigned
Total Number of Pages in This Submission		Attorney Docket Number	080586-000200US

ENCLOSURES (Check all that apply)		
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Remarks		The Commissioner is authorized to charge any additional fees to Deposit Account 20-1430.

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual	Townsend and Townsend and Crew LLP Kenneth R. Allen Reg. No. 27,301
Signature	<i>Kenneth R. Allen</i>
Date	<i>Dec 17, 2003</i>

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Typed or printed name	Roger Hylton		
Signature	<i>RHylton</i>	Date	12/17/03



PATENT  
Attorney Docket No.: 080586-000200US  
Client Reference No.: 82611-5DD

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of:

DAVID SCOTT WISHART et al.

Application No.: 10/615,342

Filed: July 7, 2003

For: AUTOMATIC IDENTIFICATION OF  
COMPOUNDS IN A SAMPLE MIXTURE  
BY MEANS OF NMR SPECTROSCOPY

Examiner: Unassigned

Art Unit: 2857

SUPPLEMENTAL INFORMATION  
DISCLOSURE STATEMENT UNDER 37 CFR  
§1.97 and §1.98

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

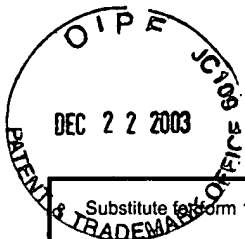
The references cited on attached form PTO/SB/08A and PTO/SB/08B are being called to the attention of the Examiner. Copies of the foreign patent publications and non-patent references are enclosed. It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

As provided for by 37 CFR §1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

Respectfully submitted,

Kenneth R. Allen  
Reg. No. 27,301

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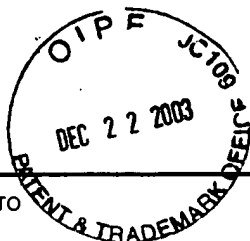


Substitute for form 1449A/PTO			<b>Complete if Known</b>		
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (use as many sheets as necessary)			Application Number	10/615,342	
			Filing Date	July 7, 2003	
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			Art Unit	2857	
			Examiner Name	Unassigned	
Sheet	1	of	5	Attorney Docket Number	080586-000200US

U.S. PATENT DOCUMENTS+					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number Kind Code <sup>2</sup> (if known)			
	1	2003/0023386	01-30-2003	Aranibar et al.	
	2	2002/0145425	10-10-2002	Ebbels et al.	
	3	6,063,026	05-16-2000	Schauss et al.	
	4	6,035,246	03-07-2000	Wagner	
	5	5,926,773	07-20-1999	Wagner	
	6	5,887,588	03-30-1999	Usenius et al.	
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	10	5,710,713	01-20-1998	Wright et al.	
	11	5,685,300	11-11-1997	Kuenstner	
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	20	5,397,987	03-14-1995	Garritano	
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	26	5,283,036	02-01-1994	Hofmann et al.	
	27	5,261,405	11-16-1993	Fossel	
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	33	5,218,529	06-08-1993	Meyer et al.	
	34	5,213,101	05-25-1993	Fossel	
	35	5,207,715	05-04-1993	Fossel	
	36	5,198,766	03-30-1993	Spraul et al.	
	37	5,146,166	09-08-1992	Bartuska	
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	40	5,055,389	10-08-1991	Bar-Or et al.	
	41	5,046,846	09-10-1991	Ray et al.	
Examiner Signature		Date Considered			

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<b>Substitute for form 1449B/PTO</b>  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (use as many sheets as necessary)			<b>Complete if Known</b>		
			Application Number	10/615,342	
			Filing Date	July 7, 2003	
			First Named Inventor	David Scott Wishart	
			Art Unit	2857	
			Examiner Name	Unassigned	
Sheet	3	of	5	Attorney Docket Number	080586-000200US

<b>NON PATENT LITERATURE DOCUMENTS</b>			
Examiner Initials *	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	66	"NMR Lipoprotein Subclass Analysis: NMR LipoProfile Research Service", LipoMed, Inc., 3009 New Bern Avenue, Raleigh, NC, 27610, <a href="http://www.lipoprofile.com/lipomed/Products_Technology/R&amp;D/R&amp;D.htm">http://www.lipoprofile.com/lipomed/Products_Technology/R&amp;D/R&amp;D.htm</a> [printed March 14, 2001]	
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	70	"About INCA", <a href="http://www.bruker.com/nmr/Products/INCA/index.html">http://www.bruker.com/nmr/Products/INCA/index.html</a> [printed March 19, 2001], Bruker NMR, Bruker Analytik GMBH.	
	71	"INCA Siting", <a href="http://www.bruker.com/nmr/Products/INCA/inca_siting.html">http://www.bruker.com/nmr/Products/INCA/inca_siting.html</a> [printed March 19, 2001], Bruker NMR, Bruker Analytik GMBH.	
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	73	"About NMR Suite", <a href="http://www.bruker.com/nmr/Software/software.html">http://www.bruker.com/nmr/Software/software.html</a> [printed March 19, 2001], Bruker NMR, Bruker Analytik GMBH.	
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	76	"Who we are", <a href="http://www.acdlabs.com/company.html">http://www.acdlabs.com/company.html</a> [printed March 19, 2001], Advanced Chemistry Development Inc., 90 Adelaide Street West, Suite 702, Toronto, Ontario, M5H 3V9, Canada.	
	77	"ACD/Labs - Spectral Laboratory", <a href="http://www.acdlabs.com/products/spec_lab">http://www.acdlabs.com/products/spec_lab</a> [printed March 19, 2001], Advanced Chemistry Development Inc., Toronto, Ontario, Canada.	
	78	"ACD/Combi NMR", <a href="http://www.acdlabs.com/products/spec_lab/complex_tasks/combinmr/tech.html">http://www.acdlabs.com/products/spec_lab/complex_tasks/combinmr/tech.html</a> [printed March 19, 2001], Advanced Chemistry Development Inc., Toronto, Ontario, Canada.	
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	80	"Combi NMR Interface", <a href="http://www.acdlabs.com/products/spec_lab/complex_tasks/combinmr/intr.html">http://www.acdlabs.com/products/spec_lab/complex_tasks/combinmr/intr.html</a> [printed March 19, 2001], Advanced Chemistry Development Inc., Toronto, Ontario, Canada.	
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Substitute for form 1449B/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet 4 of 5

**Complete if Known**

Application Number	10/615,342
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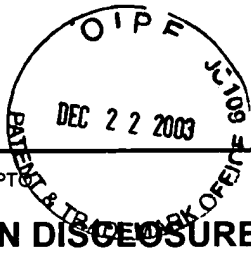
**NON PATENT LITERATURE DOCUMENTS**

Examiner Initials *	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	83	"ACD/HNMR: Overview", <a href="http://www.acdlabs.com/products/spec_lab/predict_nmr/hnmr">http://www.acdlabs.com/products/spec_lab/predict_nmr/hnmr</a> [printed March 19, 2001], Advanced Chemistry Development Inc., Toronto, Ontario, Canada.	
	84	"Software Reviews: ACD/NMR Processor", <a href="http://www.acdlabs.com/publish/acd_nmr_proc.html">http://www.acdlabs.com/publish/acd_nmr_proc.html</a> [printed March 19, 2001], Advanced Chemistry Development Inc., Toronto, Ontario, Canada.	
	85	"CNMR Spectrum generator v4.5," <a href="http://ilab.acdlabs.com/webstore/dcnmrngen.htm">http://ilab.acdlabs.com/webstore/dcnmrngen.htm</a> [printed March 19, 2001], Advanced Chemistry Development Inc., Toronto, Ontario, Canada.	
	86	"ACD/2D NMR Predictor: Overview", <a href="http://www.acdlabs.com/products/spec_lab/predict_nmr/2d_nmr">http://www.acdlabs.com/products/spec_lab/predict_nmr/2d_nmr</a> [printed March 19, 2001], Advanced Chemistry Development Inc., 90 Adelaide Street West, Suite 702, Toronto, Ontario, M5H 3V9, Canada.	
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	88	BAMFORTH et al "Diagnosis of inborn errors of metabolism using 1H NMR spectroscopic analysis of urine," J. Inher. Metab. Dis., SSIEM and Kluwer Academic Publishers, printed in the Netherlands, 22:297-301 (1999).	
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	90	BRUST "Nuclear Magnetic Resonance Spectroscopy", University of Southern Mississippi, <a href="http://www.psrc.usm.edu/macrog/nmr.htm">http://www.psrc.usm.edu/macrog/nmr.htm</a> [printed November 28, 2000].	
	91	BUSZKO "A World Wide Web Interface to an NMR Spectrometer," available at < <a href="http://micro.ifas.ufl.edu">http://micro.ifas.ufl.edu</a> > (1997, 2003).	
	92	EMSLEY et al. "Magnetic Resonance, Historical Perspective," <u>Encyclopedia of Spectroscopy and Spectrometry</u> , Academic Press: pp. 1232-1240 (2000).	
	93	FREEDMAN et al. "Relation of Lipoprotein Subclasses as Measured by Proton Nuclear Magnetic Resonance Spectroscopy [...]," Reprint from Arteriosclerosis, Thrombosis and Vascular Biology 18:1046-1053 (1998).	
	94	GOLOTVIN et al. "Improved Baseline Correction of FT NMR Spectra", NMR Newsletter, October 1999, < <a href="http://www.acdlabs.com/publish/nmr_ar.html">http://www.acdlabs.com/publish/nmr_ar.html</a> > (visited October 30, 2001), Canada, pp. 1-3, Advanced Chemistry Development Inc., Canada.	
	95	HOLMES et al "Proton NMR analysis of plasma from renal failure patients: evaluation of sample preparation and spectral-editing methods," Journal of Pharmaceutical & Biomedical Analysis 8:955-958 (1990).	
	96	HOLMES et al, "Automatic Data Reduction and Pattern Recognition Methods for Analysis of 1H Nuclear Magnetic Resonance Spectra of Human Urine...", Analytical Biochemistry 220:284-296 (1994).	
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	98	LINDON et al. "Biofluids Studied by NMR," In <u>Encyclopedia of Spectroscopy and Spectrometry</u> , Academic Press, pp. 98-116 (2002).	
	99	LINDON et al. "Multicentre Assessment of Small Molecule Quantitation in Human Blood Plasma Using 1H NMR Spectroscopy," Journal of Magnetic Resonance Analysis, England, pp. 66-74(1996).	

Examiner  
SignatureDate  
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<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.



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	100	LINDON et al. "NMR Spectroscopy of Biofluids," Annual Reports on NMR Spectroscopy, Academic Press, ISBN 012505338X, 38:1-88 (1999).	
	101	NICHOLSON et al "750 MHz 1H and 1H-13C NMR Spectroscopy of Human Blood Plasma," Analytical Chemistry, 67:793-811 (1995).	
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	104	PRESS et al. "Parabolic Interpolation and Brent's Method in One Dimension," <u>Numerical Recipes in C - The Art of Scientific Computing</u> , Second edition, Cambridge University Press, pp. 402-405, USA (1992).	
	105	ROBERTSON et al. "Metabonomics: Evaluation of Nuclear Magnetic Resonance (NMR) and Pattern Recognition Technology for [...] Toxicants," Toxicological Sciences 57:326-337 (2000).	
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	109	WILLIAMS et al. "The ABC of Metabonomics: Automated Baseline Correction," March 11- 16, 2001, Orlando, Florida, USA, 42nd ENC, ACD Users Meeting, pp. 1-8 (2001).	
	110	WISHART et al. "Magnetic Resonance Diagnostics: A New Technology for High-Throughput Clinical Diagnostics," Clin Chem 47:1918-1921 (2001).	

Examiner Signature		Date Considered	
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